INDIAN RAILWAY
Banaras Locomotive Works

PROCUREMENT FOR VENDOR DEVELOPMENT FOR PURCHASED PRODUCTS USED IN ELECTRIC LOCOMOTIVES

QSP-vendor Control of Electric Loco Items (Version 6.0)
Effective Date – 23.05.2022

Prepared By SSE/Dev	Proposed By DyCDE-II	Recommended By CDE/E-Loco	Approved By PCEE

Amendment History

SN	Date of Amendment	Page No	Version	Reason of Amendment
1.	01.11.2023	12 of 61	6.0	Para 5.11.9.1 revised in line with CLW WI-CLW/MIAS/EL/WI/Rev.4 dated 07.01 .22
2.	06.12.2023	22 of 61	6.0	Para 7.5.4 revised in line with CLW amendment -III of WI No. CLW/MLAS /EL/WI/Rev.4 for Vendor Development Procedure w.e.f date 07.11.2023
3.	22.01.2025	7 of 61	6.0	Para 5.11 revised in line with CLW amendment -IV of WI No. CLW/MLAS/EL/WI/Rev.4 for Vendor Development Procedure w.e.f date 27.11 .2024I
4.	19.08.2025	Page 4, 5, 8, 15 & 16 of 61	6.0	**(A) Following Para's have been updated with latest version of ISO and NACB accredited ISO:9001:** Para 4.2, 4.2.1, 5.11(1c), 6.2.1(e), 6.2.3(a) and 6.3.1.1(ii) of QSP Vendor Control (Version 6.0) are revised in line with CLW Amendment -V.
		Page 8 of 61		**(B) Timeline for QAP approval:** Para 5.11.2 of QSP Vendor Control (Version 6.0) is revised in line with CLW Amendment -V.
		Page 8 of 61		**(C) Timeline for submission of prototype unit:** New Para 5.11.3(a) added in line with CLW Amendment -V.
		Page 9 of 61		**(D) Issue of final IC:** Para 4.11.6.1 (ii) was revised by CLW in amendment-V (w.e.f. 30.06.25) but CLW revert back to previous statement vide amendment-VI (w.e.f. 18.07.25)
		Page 10 of 61		**(E) Items being procured on eligibility criteria:** Para 5.11.6.4 of QSP Vendor Control (Version 6.0) is revised in line with CLW Amendment -V.
		Page 6 of 61		**(F) Vendor approval procedure:** Para 5.3 & 5.5 of QSP Vendor Control (Version 6.0) are revised in line with CLW Amendment -V.

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		Page 11 of 61		**(G) Vendor approval procedure:** Para 5.11.7.1, 5.11.7.2 & 5.11.7.3 of QSP Vendor Control (Version 6.0) are deleted in line with CLW Amendment -V.
		Page 12 of 61		**(H) Field performance report for Enlistment:** Para 5.11.9.2 of QSP Vendor Control (Version 6.0) is revised in line with CLW Amendment -V.
		Page 12 of 61		**(I) Clubbed Items:** Para 5.11.11 of QSP Vendor Control (Version 6.0) is revised in line with CLW Amendment -V.
		Page 13 of 61		**(J) Uploading of Modified Drawing/Specification on CLW website:** Para 5.16 of QSP Vendor Control (Version 6.0) is revised in line with CLW Amendment -V.
		Page 15 of 61		**(K) Temporary Delisting for Non-Participating in IR Tenders:** Para 6.2.1(I) of QSP Vendor Control (Version 6.0) is revised in line with CLW Amendment -V.
		Page 19 of 61		**(L) Provision of Appeal:** Para 6.7.1 of QSP Vendor Control (Version 6.0) is revised in line with CLW Amendment -V.
		Page 07 of 61		**(M)** New Content Added in Para 5.11 **of Amendment-3** of QSP Vendor Control (Version 6.0) in line with CLW Amendment -VI.

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SN	Activity	Description
1.	ISO certification	**4.2** Availability of valid Quality Management System (QMS) as per NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate is mandatory for enlistment of all new vendors in the VD, except under special circumstances where waiver is granted in accordance with procedure specified at Para 4.2.1& 4.2.2. Vendor's QMS as per NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate would be considered only if the scope of certification includes manufacturing of the item under consideration/ similar product(s)/relevant type of manufacturing e.g. steel forging, steel casting, heavy fabrication, heavy sheet metal fabrication, precision machining, electrical rotating machines etc, as the case may be. **4.2.1** Vendor status of the existing vendors whose QMS are not certified to NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate but approved in the vendor directory, may be reviewed and delisted from VD and they are advised to obtain the same. Their vendor status may be restored as approved, once they submit proof of having obtained valid NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate to Design HOD. **5.11(1C)** Vendor should possess valid NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate for manufacture of same/similar item at his works address. This is a mandatory requirement for all vendors. **6.2.1(e)** Expiry of NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate. **6.2.3 (a)** In case of temporary delisting of vendor only on account of not acquiring NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate, the firm's status can be restored immediately after acquiring ISO-9001 within one year and beyond one year, quality audit shall be additionally carried out. **6.3.1.1 (ii)** Withdrawal of NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate of the firm by certifying agency. ***Note: All New and existing vendors to get NABCB accredited ISO within one year from the date of this amendment.**

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2.	Timeline for QAP approval	**5.11.2** Vendor shall submit QAP for approval at the time of submission of application for CCA at UVAM portal. Approval/observation on QAP shall be communicated to vendor online through 1st scrutiny advice for compliances within 30 days (if required, through 2nd deficiency advice for compliance within 15 days). Once observation is complied by firm, approval shall be given online on UVAM. CCA approval letter along with approved QAP to be given to firm through UVAM after approval of CCA report.
3.	Timeline for submission of prototype unit	**5.11.3(a)** Timeline generally for submission of prototype unit by firm: Firm shall supply prototype unit after QAP & CCA approval within following time lines: ➢ All minor items- within 6 months. ➢ All medium items- within 1 year ➢ All major item- within 2 years This includes prototype inspection, submission of all tests (internal & external if required) and supply. **Note:** Extension up to 06 months may be given (in all categories) with the approval of PCEE based on merit of case. If firm fails to offer prototype unit in specified time period then their registration certificate may be withdrawn.
4.	Items being procured on eligibility criteria	**5.11.6.4** Some items are being procured on eligibility criteria and not appearing in CLW-VD, hence their category (Major/medium/Minor) is not defined. Category of these items is to be decided with approval of CLW design HOD. Suppliers found eligible for bulk order as per eligibility criteria but supplying item first time, their prototype is also to be done as per purchase order condition. Such firms should submit vendor application form if the same is not available with the respective PU along with requisite fee. However, for suppliers found suitable for developmental order for items procured on eligibility criteria, their prototype will be dealt as per procedure indicated above.

5.	Vendor Approval Procedure	**5.3** Firms, who wish to apply for vendor registration, should carefully study "Instruction to Vendors" uploaded on UVAM portal of IREPS website. Based on the submitted documents online on UVAM portal, scrutiny will be done by the nominated official in quality cell. If any clarification is required, it must be communicated online to the firm by the nominated official through UVAM portal. 30 days will be given to the firm to submit compliance online against 1st scrutiny report. If further clarification is required in 1st compliance, then another 15 days will be given for compliance against 2nd advice.
		5.5 If the firm fails to comply the deficiencies within timeline (as per Para 5.3), his application will be rejected altogether and the decision communicated to the firm in writing by nominated official without delay through UVAM, intimating the deficiencies therein.
7.	Field Performance Report for Enlistment	**Para 5.11.7.1: Deleted.** **Para 5.11.7.2: Deleted.** **Para 5.11.7.3: Deleted.** **5.11.9.2** The equipment failure logged in online warranty portal, as well as the field performance report* from concern zonal railways shall be considered as field performance report of the vendors for the purpose of prototype approval and vendor enlistment. *(As items get activated in warranty portal only after issue of Proto Unit Final IC). Dy. HOD of design wing CLW/BLW, to send copies of Provisional IC and Final IC to concerned Store Dy. HOD for inclusion of the vendor name for that item in the Data-Base of online warranty failure portal. Such inclusion/mapping of vendor in the data base of online warranty failure portal shall not be construed as approval/enlistment of the vendor for that item. The prototype clearance and approval for enlistment of such vendors shall be processed based on the failure data recorded in the online warranty portal and the field performance report from concern zonal railways for the respective items.

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8.	Clubbed items	**5.11.11** Certain items which are similar in application and design and require the same process, skills and infrastructure have been clubbed together in the vendor directory. In case of clubbed items, the proven credentials of a firm against any item of group would be considered eligible for similar items across the same group of clubbed items. The firm, who fulfils eligibility for enlistment for any item of the group, shall be enlisted for all the clubbed items of the group. If a vendor is approved for any item in a subgroup having common vendor base and has to supply another item from the same sub group for the first time, only QAP & prototype approval for that item would be required i.e. CCA would not be required.
9.	Uploading of Modified Drawing/ Specification on CLW Website	**5.16** The draft specification/drawing incorporating proposed changes as above shall be uploaded at CLW website with intimation to PUs, RDSO & approved and developmental sources. Time-frame of 15 days will be given for submitting comments. After 15 days, comments received, if any, are to be scrutinized. In case there is any modification in draft specification/drawing after scrutiny of comments, the modified draft specification/drawing shall be uploaded in CLW website for another 10 days. Approval of HOD of design will be taken for implementing the finalized changes in specification/drawing of CLW.
10.	Temporary Delisting For Non-Participation in IR Tenders	**6.2.1(l)** Non-participation in Tenders floated by Production Unit/Railways for last 3 consecutive years.
11.	Provision of Appeal	**6.7.1** Provision of Appeal (against imposition of penalty of Temporary Delisting/Delisting/ outright Delisting of Vendor by BLW). The appeal can be made only once in case of Temporary Delisting/ Delisting/ Outright delisting within 30 days of issue of letter for Temporary delisting/ Delisting/ Outright delisting. Whenever the vendor is not satisfied the action taken, he may appeal to GM who, after seeing the merit of the case, may decide or dispose-off or refer the case to the committee consisting of three PHODs. The committee gives their observation and recommendation. The committee will verify that the case has been dealt with as per the procedure or otherwise. The same will be put up to GM for disposal of the appeal.

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12.	Enlistment of vendor for individual child item/s, considering credentials of own make child part fitted in own make main item/s.	**5.11 Vendor enlistment procedure for an item:** "Firms who have been given final prototype inspection certificate after satisfactory field trial period, if applicable for any item, shall be made a 'Developmental Source for that item." A vendor to be enlisted as developmental/approved source for individual child items (available in UVAM) fitted in own make main item commensurate with vendor status for the main item. The following conditions are to be followed: i. Vendors are required to manufacture child parts inhouse against the own make main item as per approved QAP. ii. Stage inspection of child part as per specification & drawing are witnessed by CLW/BLW inspection wing or TPI before fitment in main item, if applicable. iii. Proper traceability of child part fitted in main item and satisfactory field performance of main item for stipulated quantity for stipulated period is satisfied. The above shall be applicable with following remarks: a) Such enlistment is possible only for those individual child items which are available in the UVAM. b) Firm has to apply in UVAM for enlistment for the individual child items i.e. it will not be Suo-moto. c) The enlistment for individual child items will be both as developmental as well as approved source depending upon enlistment status of main item. Following procedure is to be adopted for Upgradation of a firm from "Developmental Vendors' to 'Approved Vendors' category: **1)** "Developmental Vendors' to 'Approved Vendors' is applicable for those items for which Vendors are in Developmental Category. "Developmental Vendors' will be upgraded to 'Approved Vendors' after compliance of all the following conditions: - A) The name of the firm should appear in Vendor Directory on UVAM portal as "Developmental Vendor" for particular Item for which upgradation is sought. B) The vendor has applied for upgradation. C) Vendor should possess valid NABCB* accredited ISO 9001 or 16949:2016 or ISO 22163:2023 or any equivalent QMS certificate for manufacture of same/similar item at his works address. This is a mandatory requirement for all vendors. D) Service Performance - The performance of the firm should be satisfactory. E) Firm should comply criteria for enlistment as approved source as per para 5.11.7 to 5.11.11

		2) As the iMMS system of CRIS captures the details like no. of items accepted, the supply date etc of a Vendor, the same can be used by the Vendor and the Approving Unit, when a developmental vendor fulfils the stipulated upgradation criteria. The process of Suo- moto upgradation of the developmental vendor as Approved vendor, can be initiated through a Notice to the Vendor to approach the approving Unit with all requisite Documents including those for enhanced Capacity/Capability and the vendor to be in readiness for Bidding/Supplying larger quantities as expected from an Approved Vendor for that item. 3) **Time period for applying for upgradation:** The firms appearing as "Developmental Vendor" in Vendor Directory on UVAM portal can apply for upgradation maximum One month in advance of the date due for upgradation. However, status shall be upgraded on the due date subject to the condition that all laid down criteria has been complied with. 4) **Status review-** After seven-year period as Developmental Vendor status (without any quantity restriction), if the firm fails to comply with the stipulated upgradation requirements, the firm will be delisted from the list of developmental vendors.

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